

LIL:CS:SCR:2019-20

Date : 28.05.2019

BSE Limited
1st Floor, Rotunda Building
P.J. Towers, Dalal Street, Fort
Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400051

Company Code : 517206

Company Code: LUMAXIND

Sub.: **Annual Secretarial Compliance Report pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")**

Sir/Ma'am,

Pursuant to Regulation 24A of Listing Regulations read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019, kindly find appended hereto, the Annual Secretarial Compliance Report of the Company for the Financial Year ended 31st March 2019 duly issued by Mr. Maneesh Gupta, Practicing Company Secretary.

This is for your information and record please.

Thanking you,

Yours faithfully,

For **LUMAX INDUSTRIES LIMITED**

Ankita Gupta

ANKITA GUPTA
COMPANY SECRETARY
M.NO. A50166



Encl.: as above

ANNUAL SECRETARIAL COMPLIANCE REPORT OF LUMAX INDUSTRIES
LIMITED FOR THE YEAR ENDED MARCH 31, 2019

Lumax Industries Limited,
2nd Floor, Harbans Bhawan-II
Commercial Complex,
Nangal Raya,
New Delhi-110 046

Sub: Secretarial Compliance Report

Sir/ Madam,

I, Maneesh Gupta, Practicing Company Secretary have examined

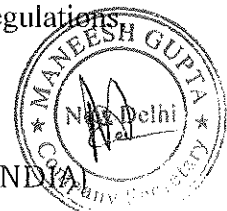
- a) all the documents and records made available to us and explanation provided by the Lumax Industries Limited (“the listed entity”),
- b) the filings/submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity, and
- d) any other document/ filing, as may be relevant, which has been relied upon to make his certification,

for the year ended March 31, 2019 (“Review Period”) in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the regulations, circulars, guidelines issued thereunder; and
- b) the Securities and Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - **Not Applicable to the Company during the review period.**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; -
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; - **Not Applicable to the Company during the review period.**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; - **Not Applicable to the Company during the review period.**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; - **Not Applicable to the Company during the review period.**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; - **Not Applicable to the Company during the review period.**
- h) Securities and Exchange Board of India (Prohibition of Insider trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

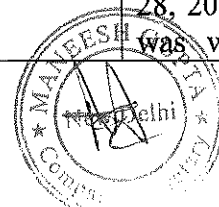


and circulars /guidelines issued thereunder;

and based on the above examination, I hereby report that, during the review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued together in so far as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary
1	NSE	Non-compliance under Regulation 27(2) of SEBI (LODR) Regulations 2015	Letter that the company has not complied with composition of board of directors as there is no women director of the Board of the Company	The composition of Board of director was adequate as a women independent director resigned from the Board on May 28, 2018 and the Company had time till next board meeting or 3 (three) months to fill the vacancy and the Company has adequately appointed a women independent director on July 28, 2018 which was well with



				in time as prescribed under relevant laws. The Reply was submitted to NSE and the matter was closed.
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d) The listed entity has taken the following actions to comply with the Observations made in previous reports: - **Not Applicable as this is the first such report.**

Place: New Delhi
Date: May 9, 2019



Signature
Maneesh Gupta
FCS No.: 4982
CP No.: 2945