



**LUMAX INDUSTRIES LIMITED**  
 CIN: L74899DL1981PLC012804  
 Registered Office: 2<sup>nd</sup> Floor, Harbans Bhawan-II, Commercial Complex,  
 Nangal Raya, New Delhi-110046  
 Phone: 011 49857832, Email: [lumaxshare@lumaxmail.com](mailto:lumaxshare@lumaxmail.com),  
 Website: [www.lumaxworld.in/lumaxindustries](http://www.lumaxworld.in/lumaxindustries)



THE LAST DAY FOR RECEIPT OF POSTAL BALLOT IS 13<sup>TH</sup> DAY OF JANUARY 2021, 5:00 P.M.

**POSTAL BALLOT FORM**

**Serial No.** .....

| Sr. No. | Particulars   | Details of Equity Shareholder(s) |
|---------|---|----------------------------------|
| 1.      | Name & Registered Address of the Sole/First named Member/Beneficial Owner   |                                  |
| 2.      | Name(s) of the Joint Member(s), if any  |                                  |
| 3.      | Registered folio No./ *DP ID No./ Client ID No. (* Applicable to the Members holding Equity Shares in dematerialized form)  |                                  |
| 4.      | Number of Equity Shares held  |                                  |
| 5.      | I/We hereby exercise my/our votes in respect of the Special/Ordinary Resolution(s) to be passed through Postal Ballot/e-voting for the business stated in the Postal Ballot Notice of the Company dated November 09, 2020 by sending my/our assent (for) or dissent (against) to the said Resolution by placing the tick (✓) mark at the appropriate box below: |                                  |

| Item No. | Description of Resolution  | Number of equity shares held by me / us | I / We assent to the resolution (FOR) | I / We dissent from the resolution (AGAINST) |
|----------|--|---|---------------------------------------|--|
| 1.       | Special Resolution for the Approval for Reappointment of Mr. Deepak Jain (DIN: 00004972) as Chairman and Managing Director (Key Managerial Personnel) of the Company.  |   |                                       |  |
| 2.       | Special Resolution for the Approval for Reappointment of Mr. Anmol Jain (DIN: 00004993) as Joint Managing Director (Key Managerial Personnel) of the Company   |   |                                       |  |
| 3.       | Special Resolution for the Approval for Reappointment of Mr. Tadayoshi Aoki (DIN: 08053387) as Senior Executive Director - Whole Time Director (Key Managerial Personnel) of the Company   |   |                                       |  |
| 4.       | Special Resolution for the Approval for the payment of minimum remuneration to Mr. Deepak Jain (DIN: 00004972) Chairman and Managing Director (Key Managerial Personnel) for the Financial Year 2020-21  |   |                                       |  |
| 5.       | Special Resolution for the Approval for the payment of minimum remuneration to Mr. Anmol Jain (DIN: 00004993) Joint Managing Director (Key Managerial Personnel) for the Financial Year 2020-21  |   |                                       |  |
| 6.       | Special Resolution for the Approval for the payment of minimum remuneration to Mr. Vineet Sahni (DIN: 03616096) Senior Executive Director – Whole Time Director and CEO (Key Managerial Personnel) for the Financial Year 2020-21  |   |                                       |  |
| 7.       | Special Resolution for the Approval for the payment of minimum remuneration to Mr. Tadayoshi Aoki (DIN: 08053387) Senior Executive Director - Whole Time Director (Key Managerial Personnel) for the Financial Year 2020-21  |   |                                       |  |
| 8.       | Special Resolution for the Approval for the payment of minimum remuneration to Mr. Kenjiro Nakazono (DIN: 08753913) Executive Director – Whole Time Director (Key Managerial Personnel) for the Financial Year 2020-21   |   |                                       |  |
| 9.       | Special Resolution for the Approval for remuneration payable to Mr. Deepak Jain (DIN: 00004972) Chairman and Managing Director (Key Managerial Personnel) who is also promoter of the Company in excess of threshold limits under Regulation 17(6)(e) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) |   |                                       |  |
| 10.      | Special Resolution for the Approval for remuneration payable to Mr. Anmol Jain (DIN: 00004993) Joint Managing Director (Key Managerial Personnel) who is also promoter of the Company in excess of threshold limits under Regulation 17(6)(e) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended)         |   |                                       |  |
| 11.      | Ordinary Resolution for Approval of Material Related Party Transactions with Lumax Auto Technologies Limited.  |   |                                       |  |

Place:

Date: (Signature of the Member / Authorised Representative)

**ELECTRONIC VOTING PARTICULARS**

| EVEN (E Voting Event Number) | USER ID | PASSWORD |
|------------------------------|---------|----------|
| 5763                         |         |          |

The e-voting facility will be available during the following voting period:

| Commencement of e-voting                               | End of e-voting   |
|--|---|
| Tuesday, 15 <sup>th</sup> December, 2020 at 09:00 A.M. | Wednesday, 13 <sup>th</sup> January, 2021 at 05:00 P.M. |

**Note: If the voting rights are exercised electronically, there is no need to use this form. Please read the instructions carefully printed overleaf before exercising the vote and return this form to the Scrutinizer by using the enclosed postage pre-paid self-addressed envelope**

**Instructions:**

1. A Member desiring to exercise his/her vote by Postal Ballot shall complete this Postal Ballot Form and send it duly and properly sealed to the Scrutinizer in the enclosed self-addressed Business Reply Envelope attached herewith. Postage will be borne and paid by the Company. However, envelopes containing Postal Ballot Form, if sent by courier or deposited in person at the expense of the Member will also be accepted.
2. The self-addressed envelope being sent herewith bears the name and address of the Scrutinizer appointed for conducting Postal Ballot process.
3. The Postal Ballot Form should be completed and signed by the Member (as per the specimen signature Registered with the Company/ Depository Participant). In case of joint holding, this Form should be completed and signed by the first named Member and in his/her absence, by the next named Member and so on.
4. There shall be only one Postal Ballot Form for every Folio No. / DP ID and Client ID irrespective of the number of joint holders. The Postal Ballot shall not be exercised by a Proxy.
5. A Member may request for a duplicate Postal Ballot Form, if so required. However, the duly completed duplicate Postal Ballot Form should reach the Scrutinizer not later than the date specified herein below at serial no. 8.
6. The votes should be cast either in favour or against by putting the tick (✓) mark in the column provided for assent or dissent. Postal Ballot Form bearing tick marks in both the columns will render the form invalid.
7. Incomplete, unsigned or incorrectly ticked Postal Ballot Form will be rejected. The Scrutinizer's decision on the validity of a Postal Ballot will be final and binding.
8. Duly completed Postal Ballot Form should be received by the Scrutinizer not later than the close of working hours (i.e. before 5.00 P.M.) on Wednesday, the 13<sup>th</sup> day of January, 2021. Postal Ballot Forms received after this date will be treated as if no reply from the Member has been received.
9. Voting rights shall be reckoned on the paid up value of Shares registered in the name(s) of the Member(s) as on cut-off date.
10. In case of shares held by companies, trusts, societies, etc. the duly completed Postal Ballot Form should be signed by the authorized signatory accompanied by a certified true copy of the Board Resolution/Authorization letter together with specimen signature(s) of the duly authorized signatories.
11. Members are requested not to send any other paper along with the Postal Ballot Form in the enclosed self-addressed postage prepaid envelope as all such envelopes will be delivered to the Scrutinizer and any extraneous paper found in such envelope would be destroyed by the Scrutinizer.
12. The result of the Postal Ballot will be declared on or before 15<sup>th</sup> day of January, 2021 at the Registered Office of the Company. The result of the Postal Ballot will also be posted on the website of the Company: [www.lumaxindustries.com](http://www.lumaxindustries.com).
13. Postal Ballot Form shall be considered invalid if a form other than the one issued by the Company or a photocopy thereof has been used.
14. The Company is pleased to offer e-voting facility as an alternate, for all the members of the Company to enable them to cast their votes electronically instead of returning duly filled-up postal ballot form. E-voting is optional. The detailed procedure for e-voting is enumerated in point no. 18 in the Notice of the postal ballot dated November 09, 2020.