

General information about company

Scrip code	532796
NSE Symbol	LUMAXTECH
MSEI Symbol	NOTLISTED
ISIN	INE872H01027
Name of the company	LUMAX AUTO TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	20.33
As on the end of previous 1st quarter	100	20.3
As on the end of previous 2nd quarter	100	20.79
As on the end of previous 3rd quarter	100	20.56
As on the end of previous 4th quarter	100	20.46

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	38154430			38154430	55.98	38154430		38154430	55.98
(B)	Public	28440	30003275			30003275	44.02	30003275		30003275	44.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	28444	68157705			68157705	100	68157705		68157705	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				55.98					38154430			
(B)	Public				44.02					30003260	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					68157690	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	26043110			26043110	38.21	26043110		26043110	38.21
(d)	Any Other (specify)	1	12111320			12111320	17.77	12111320		12111320	17.77
Sub-Total (A)(1)		4	38154430			38154430	55.98	38154430		38154430	55.98
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	38154430			38154430	55.98	38154430		38154430	55.98
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	1	3333300			3333300	4.89	3333300		3333300	4.89
(c)	Alternate Investment Funds	3	481506			481506	0.71	481506		481506	0.71
Sub-Total (B)(1)		4	3814806			3814806	5.6	3814806		3814806	5.6
(2)	Institutions (Foreign)										

(d)	Foreign Portfolio Investors Category I	61	13216030			13216030	19.39	13216030		13216030	19.39
(e)	Foreign Portfolio Investors Category II	2	16437			16437	0.02	16437		16437	0.02
Sub-Total (B)(2)		63	13232467			13232467	19.41	13232467		13232467	19.41
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	2	1530			1530	0	1530		1530	0
(c)	Key Managerial Personnel	3	1315			1315	0	1315		1315	0
(f)	Investor Education and Protection Fund (IEPF)	1	11846			11846	0.02	11846		11846	0.02
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	27123	8587211			8587211	12.6	8587211		8587211	12.6
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	8	1808121			1808121	2.65	1808121		1808121	2.65

(i)	Non Resident Indians (NRIs)	585	822147			822147	1.21	822147		822147	1.21
(l)	Bodies Corporate	137	927976			927976	1.36	927976		927976	1.36
(m)	Any Other (specify)	514	795856			795856	1.17	795856		795856	1.17
Sub-Total (B)(4)		28373	12956002			12956002	19.01	12956002		12956002	19.01
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		28440	30003275			30003275	44.02	30003275		30003275	44.02
C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
Total (A+B+C2)		28444	68157705			68157705	100	68157705		68157705	100
Total (A+B+C)		28444	68157705			68157705	100	68157705		68157705	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				38.21					26043110			

(d)				17.77					12111320			
Sub-Total (A)(1)				55.98					38154430			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				55.98					38154430			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				4.89					3333300	0	0	0
(c)				0.71					481506	0	0	0
Sub-Total (B)(1)				5.6					3814806	0	0	0
(2)	Institutions (Foreign)											
(d)				19.39					13216030	0	0	0
(e)				0.02					16437	0	0	0
Sub-Total (B)(2)				19.41					13232467	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				0					1530	0	0	0

(c)				0					1315	0	0	0
(f)				0.02					11846	0	0	0
(g)				12.6					8587196	0	0	0
(h)				2.65					1808121	0	0	0
(i)				1.21					822147	0	0	0
(l)				1.36					927976	0	0	0
(m)				1.17					795856	0	0	0
Sub-Total (B)(4)				19.01					12955987	0	0	0
Total Public Shareholdin g (B)=(B)(1) +(B)(2)+(B) (3)+(B)(4)				44.02					30003260	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					68157690			
Total (A+B+C)				100					68157690			
Disclosure of notes on shareholding pattern								Textual Information(1)				

Text Block

Textual Information()	<p>Notes:</p> <ol style="list-style-type: none"> 1. D.K. Jain & Sons (HUF), forming part of Promoter and Promoter Group Category, had been dissolved and the Shares been transferred to Mr. Anmol Jain and Mr. Deepak Jain, Promoters of the Company. 2. Statement showing Foreign Ownership Limits in Annexue B does include the shareholding of FPI and NRI (Repatriable basis) but does not include shareholding of NRI (Non-Repatriate basis). <p>Bifurcation of Final figures as specified in the Annexue B of this Shareholding pattern are as follows:</p> <p>As on shareholding date: 19.41% (FPI Holding) + 0.92% (NRI holding on Repatriable basis)</p> <p>As on the end of the previous 1st Quarter: 19.37% (FPI Holding) + 0.93% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 2nd Quarter: 19.53% (FPI Holding) + 1.26% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 3rd Quarter: 19.34% (FPI Holding) + 1.22% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 4th Quarter: 19.39% (FPI Holding) + 1.07% (NRI holding on Repatriable basis).</p>
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Individuals/Hindu undivided Family

Searial No.	1	2	3	4	5	6	
Name of the Shareholders (I)	Dhanesh Kumar Jain Family Trust (Managing	Deepak Jain	Anmol Jain	Dhanesh Kumar Jain	Shivani Jain	D.K. Jain & Sons (HUF) (Karta Dhanesh Kumar Jain)	

	Trustee Dhanesh Kumar Jain)						
No. of fully paid up equity shares held (IV)	203950	12921047	12918113	0	0	0	
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	203950	12921047	12918113	0	0	0	
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.3	18.96	18.95	0	0	0	
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	203950	12921047	12918113	0	0	0	
Class eg:y							
Total	203950	12921047	12918113	0	0	0	
Total as a % of Total Voting rights	0.3	18.96	18.95	0	0	0	
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible	0.3	18.96	18.95	0	0	0	

securities (as a percentage of diluted share capital)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	203950	12921047	12918113	0	0	0	
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter Group	Promoter	

Individuals/Hindu undivided Family

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	26043110
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	26043110

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	38.21
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	26043110
Class eg:y	
Total	26043110
Total as a % of Total Voting rights	38.21
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	38.21
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	26043110
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	Click here to go back
No. of fully paid up equity shares held (IV)	3333300	3333300
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3333300	3333300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.89	4.89
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3333300	3333300
Class eg:y		
Total	3333300	3333300
Total as a % of Total Voting rights	4.89	4.89
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.89	4.89
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3333300	3333300

Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	
Sub-category (ii)	0	0	
Sub-category (iii)	0	0	

Foreign Portfolio Investors Category I

Serial No.	1	2	3	
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	FIRST STATE INDIAN SUBCONTINENT FUND	Click here to go back
No. of fully paid up equity shares held (IV)	6158135	2811262	986691	9956088
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	6158135	2811262	986691	9956088
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.04	4.12	1.45	14.61
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	6158135	2811262	986691	9956088
Class eg:y				
Total	6158135	2811262	986691	9956088

Total as a % of Total Voting rights	9.04	4.12	1.45	14.61	
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	9.04	4.12	1.45	14.61	
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	6158135	2811262	986691	9956088	
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	
Sub-category (ii)	0	0	0	0	
Sub-category (iii)	0	0	0	0	

Any Other (specify)

Serial No.	1	2	3	
Category	Clearing Members	HUF	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	30	483	1	514
No. of fully paid up equity shares held (IV)	159099	634617	2140	795856
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	159099	634617	2140	795856
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.23	0.93	0	1.16
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	159099	634617	2140	795856
Class eg:y				
Total	159099	634617	2140	795856
Total as a % of Total Voting rights	0.23	0.93	0	1.16
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.23	0.93	0	1.16
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	159099	634617	2140	795856
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Lumax Finance Private Limited	
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	12111320	12111320
No. Of Partly paid-up equity shares held (V)		

No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	12111320	12111320
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.77	17.77
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12111320	12111320
Class eg:y		
Total	12111320	12111320
Total as a % of Total Voting rights	17.77	17.77
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	17.77	17.77
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	12111320	12111320
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Serial No.	Name of Shareholder	Name of the PAC	Number of shares	Percentage of shareholding by PAC
1	Naval Khanna		3360	

significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Dhanesh Kumar Jain			India		Dhanesh Kumar Jain			India					Yes	Yes	30-10-1981
Total:											0	0	0			
2	Deepak Jain			India		Deepak Jain			India		18.96	18.96	18.96	Yes	Yes	07-08-2013
Total:											18.96	18.96	18.96			

3	Anmol Jain			India		Anmol Jain			India		18.95	18.95	18.95	Yes	Yes	03-08-2004	
Total:											18.95	18.95	18.95				
4	Dhanesh Kumar Jain			India		Dhanesh Kumar Jain Family Trust			India		0.3	0.3	0.3	Yes	Yes	06-04-2009	
5.	Dhanesh Kumar Jain			India		D.K. Jain & Sons (HUF)			India					Yes	Yes	09-08-2010	
6	Dhanesh Kumar Jain			India		Lumax Finance Private Limited			India		17.77	17.77	17.77	Yes	Yes	22-09-2010	
Total:											55.98	55.98	55.98				
7	Deepak Jain			India		Lumax Finance Private Limited			India		17.77	17.77	17.77	Yes	Yes	22-09-2010	
Total:											73.75	73.75	73.75				
8	Anmol Jain			India		Lumax Finance Private Limited			India		17.77	17.77	17.77	Yes	Yes	22-09-2010	
Total:											72.56	72.56	72.56				