

SHAREHOLDING PATTERN AS ON DECEMBER 31, 2020

1. **Name of Listed Entity:** Lumax Auto Technologies Limited
2. Scrip Code/Name of Scrip/Class of Security: LUMAXTECH
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held	Nos. of shares underlying Depository	Total nos. shares held VII = IV+V+VI	Share holding % calculated as per SCRR, 1957 As a %	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities	Total share holding, as a % assuming full conv	Number of Locked in shares (XII)		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting	No. (a)	As a % of total Shares	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		1	3068099	0	0	3068099	4.5	3068099	0	3068099	4.5	0	4.5	0	0			3068099
	DSP SMALL CAP FUND	AAAJD0430B	1	3068099	0	0	3068099	4.5	3068099	0	3068099	4.5	0	4.5	0	0			3068099
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		1	951724	0	0	951724	1.4	951724	0	951724	1.4	0	1.4	0	0			951724
	WHITE OAK INDIA EQUITY FUND	AAATW4594	1	951724	0	0	951724	1.4	951724	0	951724	1.4	0	1.4	0	0			951724
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		8	12665614	0	0	12665614	18.58	12665614	0	12665614	18.58	0	18.58	0	0			12665614
	ALBULA INVESTMENT FUND LTD	AAHCA3597	1	6158135	0	0	6158135	9.04	6158135	0	6158135	9.04	0	9.04	0	0			6158135

	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	AAFCA0513L	1	3250000	0	0	3250000	4.77	3250000	0	3250000	4.77	0	4.77	0	0			3250000
	FIRST STATE INDIAN SUBCONTINENT FUND	AAATF1850H	1	951810	0	0	951810	1.4	951810	0	951810	1.4	0	1.4	0	0			951810
	INDIA ACORN FUND LTD	AAECI5389R	1	1006000	0	0	1006000	1.48	1006000	0	1006000	1.48	0	1.48	0	0			1006000
f	Financial Institutions/ Banks		1	401	0	0	401	0	401	0	401	0	0	0	0	0			401
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		11	16685838	0	0	16685838	24.48	16685838	0	16685838	24.48	0	24.48	0	0			16685838
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals –		16659	10162827	0	0	10162827	14.91	10162827	0	10162827	14.91	0	14.91	0	0			10162812

i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		16654	9001960	0	0	9001960	13.21	9001960	0	9001960	13.21	0	13.21	0	0			9001945
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	1160867	0	0	1160867	1.7	1160867	0	1160867	1.7	0	1.7	0	0			1160867
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		887	3154610	0	0	3154610	4.63	3154610	0	3154610	4.63	0	4.63	0	0			3154610
	Bodies Corporate		120	1421995	0	0	1421995	2.09	1421995	0	1421995	2.09	0	2.09	0	0			1421995
	Clearing Members		72	218986	0	0	218986	0.32	218986	0	218986	0.32	0	0.32	0	0			218986
	HUF		362	602728	0	0	602728	0.88	602728	0	602728	0.88	0	0.88	0	0			602728
	IEPF		1	7240	0	0	7240	0.01	7240	0	7240	0.01	0	0.01	0	0			7240
	Non-Resident Indian (NRI)		331	900051	0	0	900051	1.32	900051	0	900051	1.32	0	1.32	0	0			900051
	Unclaimed or Suspense or Escrow Account		1	3610	0	0	3610	0.01	3610	0	3610	0.01	0	0.01	0	0			3610

	Sub-Total (B)(3)		17546	13317437	0	0	13317437	19.54	13317437	0	13317437	19.54	0	19.54	0	0			13317422
	Total Public Shareholding (B)= (B)(1)+(B)(2)+ (B)(3)		17557	30003275	0	0	30003275	44.02	30003275	0	30003275	44.02	0	44.02	0	0			30003260

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Anmol Jain	AAPPJ5958D		India	36.72	36.72	36.72			
A	Lumax Finance Private Limited	AAACS9530J		India	17.77	17.77	17.77	Yes	Yes	22-Sep-2010
B	Anmol Jain	AAPPJ5958D		India	18.95	18.95	18.95	Yes	Yes	03-Aug-2004
2	Dhanesh Kumar Jain	AAEPJ0852F		India	18.07	18.07	18.07			
A	Dhanesh Kumar Jain Family Trust	AAAAD5552B		India	0.3	0.3	0.3	Yes	Yes	06-Apr-2009
B	Lumax Finance Private Limited	AAACS9530J		India	17.77	17.77	17.77	Yes	Yes	22-Sep-2010
C	Dhanesh Kumar Jain	AAEPJ0852F		India				Yes	Yes	30-Oct-1981
D	Dhanesh Kumar Jain (HUF)	AAAHD1442B		India				Yes	Yes	09-Aug-2010
3	Deepak Jain	AAEPJ1525D		India	36.73	36.73	36.73			
A	Lumax Finance Private Limited	AAACS9530J		India	17.77	17.77	17.77	Yes	Yes	22-Sep-2010
B	Deepak Jain	AAEPJ1525D		India	18.96	18.96	18.96	Yes	Yes	07-Aug-2013

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %