

SHAREHOLDING PATTERN AS ON SEPTEMBER 30, 2020

1. **Name of Listed Entity:**Lumax Auto Technologies Limited
2. Scrip Code/Name of Scrip/Class of Security: LUMAXTECH
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share (III)	No. of fully paid up shares held (IV)	No. of Partly equity shares held (V)	No. of shares under Deposit Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a percentage (VIII) = $\frac{(A+B+C)}{1957}$ As a % (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares converted securities (including Warrants) (X)	Shareholding, as a % assuming securities (percentage diluted share capital) (XI) = $\frac{(A+B+C)}{(A+B+C2)}$ As a % of (A+B+C2)	Number of Locked (XII)		Number of Shares otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter Group	5	38154430	0	0	38154430	55.98	38154430	0	38154430	55.98	0	55.98	0	0	0	0	38154430
B	Public	15073	30003275	0	0	30003275	44.02	30003275	0	30003275	44.02	0	44.02	0	0			30003260
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0	0	0				0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0	0				0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0				0
	Total	15078	68157705	0	0	68157705	100	68157705	0	68157705	100	0	100	0	0	0	0	68157690

c	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		1	12111320	0	0	12111320	17.77	12111320	0	12111320	17.77	0	17.77	0	0	0	0	12111320
	Bodies Corporate		1	12111320	0	0	12111320	17.77	12111320	0	12111320	17.77	0	17.77	0	0	0	0	12111320
	Lumax Finance Private Limited	AAACS9530J	1	12111320	0	0	12111320	17.77	12111320	0	12111320	17.77	0	17.77	0	0	0	0	12111320
	Sub-Total (A)(1)		5	38154430	0	0	38154430	55.98	38154430	0	38154430	55.98	0	55.98	0	0	0	0	38154430
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	38154430	0	0	38154430	55.98	38154430	0	38154430	55.98	0	55.98	0	0	0	0	38154430

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding	Total shareholding, as a % assuming full conversion of convertible	Number of Locked in shares (XII)		Number of Shares pledged or		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting	No.	As a % of total Shares	No.		As a % of total shares held
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds		1	2738308	0	0	2738308	4.02	2738308	0	2738308	4.02	0	4.02	0	0			2738308
	DSP SMALL CAP FUND	AAAJD0430B	1	2738308	0	0	2738308	4.02	2738308	0	2738308	4.02	0	4.02	0	0			2738308
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		1	951724	0	0	951724	1.4	951724	0	951724	1.4	0	1.4	0	0			951724
	WHITE OAK INDIA EQUITY FUND	AAATW4594P	1	951724	0	0	951724	1.4	951724	0	951724	1.4	0	1.4	0	0			951724
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		8	12487989	0	0	12487989	18.32	12487989	0	12487989	18.32	0	18.32	0	0			12487989
	ALBULA INVESTMENT FUND LTD	AAHCA3597Q	1	6158135	0	0	6158135	9.04	6158135	0	6158135	9.04	0	9.04	0	0			6158135

	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	AAFCA0513L	1	3250000	0	0	3250000	4.77	3250000	0	3250000	4.77	0	4.77	0	0		3250000
	FIRST STATE INDIAN SUBCONTINENT FUND	AAATF1850H	1	872414	0	0	872414	1.28	872414	0	872414	1.28	0	1.28	0	0		872414
	INDIA ACORN FUND LTD	AAECI5389R	1	1006000	0	0	1006000	1.48	1006000	0	1006000	1.48	0	1.48	0	0		1006000
f	Financial Institutions/ Banks		1	401	0	0	401	0	401	0	401	0	0	0	0	0		401
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		11	16178422	0	0	16178422	23.74	16178422	0	16178422	23.74	0	23.74	0	0		16178422
2	Central Government / State Government (s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals –		14195	10474944	0	0	10474944	15.36	10474944	0	10474944	15.36	0	15.36	0	0		10474929

i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		14189	9129330	0	0	9129330	13.39	9129330	0	9129330	13.39	0	13.39	0	0			9129315
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	1345614	0	0	1345614	1.97	1345614	0	1345614	1.97	0	1.97	0	0			1345614
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		867	3349909	0	0	3349909	4.91	3349909	0	3349909	4.91	0	4.91	0	0			3349909
	Bodies Corporate		129	1570365	0	0	1570365	2.3	1570365	0	1570365	2.3	0	2.3	0	0			1570365
	Clearing Members		54	183855	0	0	183855	0.27	183855	0	183855	0.27	0	0.27	0	0			183855
	HUF		353	672799	0	0	672799	0.99	672799	0	672799	0.99	0	0.99	0	0			672799
	IEPF		1	7235	0	0	7235	0.01	7235	0	7235	0.01	0	0.01	0	0			7235
	Non-Resident Indian (NRI)		329	912045	0	0	912045	1.34	912045	0	912045	1.34	0	1.34	0	0			912045
	Unclaimed or Suspense or Escrow Account		1	3610	0	0	3610	0.01	3610	0	3610	0.01	0	0.01	0	0			3610

	Sub-Total (B)(3)		15062	13824853	0	0	13824853	20.28	13824853	0	13824853	20.28	0	20.28	0	0			13824838
	Total Public Shareholdin g (B)= (B)(1)+(B)(2) +(B)(3)		15073	30003275	0	0	30003275	44.02	30003275	0	30003275	44.02	0	44.02	0	0			30003260

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Anmol Jain	AAPPJ5958D		India	32.37	32.37	32.37			
A	Lumax Finance Private Limited	AAACS9530J		India	17.77	17.77	17.77	Yes	Yes	22-Sep-2010
B	Anmol Jain	AAPPJ5958D		India	14.6	14.6	14.6	Yes	Yes	03-Aug-2004
2	Dhanesh Kumar Jain	AAEPJ0852F		India	26.77	26.77	26.77			
A	Dhanesh Kumar Jain Family Trust	AAAAD5552B		India	0.3	0.3	0.3	Yes	Yes	06-Apr-2009
B	Lumax Finance Private Limited	AAACS9530J		India	17.77	17.77	17.77	Yes	Yes	22-Sep-2010
C	Dhanesh Kumar Jain	AAEPJ0852F		India				Yes	Yes	30-Oct-1981
D	Dhanesh Kumar Jain (HUF)	AAAHD1442B		India	8.7	8.7	8.7	Yes	Yes	09-Aug-2010
3	Deepak Jain	AAEPJ1525D		India	32.37	32.37	32.37			
A	Lumax Finance Private Limited	AAACS9530J		India	17.77	17.77	17.77	Yes	Yes	22-Sep-2010
B	Deepak Jain	AAEPJ1525D		India	14.6	14.6	14.6	Yes	Yes	07-Aug-2013

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %