

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: LUMAX AUTO TECHNOLOGIES LIMITED			
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. Reg. 31(1)(b)			
a. If under 31(1)(b) then indicate the report for Quarter ending		31-12-2021	
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities ?		No
3	Whether the Listed Entity has issued any Warrants ?		No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No
5	Whether the Listed Entity has any shares in locked-in?		No
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No
7	Whether company has equity shares with differential voting rights?		No
8	Whether the listed entity has any significant beneficial owner?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>			

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding %	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity x	Class Others y	Total								
(A)	Promoter & Promoter Group	4	38154430	0	0	38154430	55.9796	38154430	0	38154430	55.9796	0	0.0000	0	0.0000	38154430		
(B)	Public	26367	30003275	0	0	30003275	44.0204	30003275	0	30003275	44.0204	0	0.0000	0	0	30003260		
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total	26371	68157705	0	0	68157705		68157705	0	68157705	100.0000	0	0.0000			68157690		

Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (I)	Entity Type	No of Share holders (III)	No of fully paid up equity Shares held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding %	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class Equity	Class Others									Total
1 Indian																		
(a) Individuals/Hindu undivided Family																		
Dhanesh Kumar Jain Family Trust	Promoter	1	203950	0	0	203950	0.2992	203950	0	203950	0.2992	0	0.2992	0	0.0000	0	0.0000	203950
Deepak Jain	Promoter	1	12921047	0	0	12921047	18.9576	12921047	0	12921047	18.9576	0	18.9576	0	0.0000	0	0.0000	12921047
Anmol Jain	Promoter	1	12918113	0	0	12918113	18.9533	12918113	0	12918113	18.9533	0	18.9533	0	0.0000	0	0.0000	12918113
Dhanesh Kumar Jain	Promoter	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Shivani Jain	Promoter Group	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
D.K. Jain & Sons (HUF)	Promoter	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total		3	26043110	0	0	26043110	38.2101	26043110	0	26043110	38.2101	0	38.2101	0	0.0000	0	0.0000	26043110
(b) Central Government/State Government(s)																		
		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions/Banks																		
		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other(GROUP COMPANIES)																		
Lumax Finance Private Limited	Promoter Group	1	12111320	0	0	12111320	17.7696	12111320	0	12111320	17.7696	0	17.7696	0	0.0000	0	0.0000	12111320
Total		1	12111320	0	0	12111320	17.7696	12111320	0	12111320	17.7696	0	17.7696	0	0.0000	0	0.0000	12111320
Sub-Total (A)(1)		4	38154430	0	0	38154430	55.9796	38154430	0	38154430	55.9796	0	55.9796	0	0.0000	0	0.0000	38154430
2 Foreign																		
(a) Individuals(Non-Resident Individuals/Foreign Individuals)																		
		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions																		
		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoters		4	38154430	0	0	38154430	55.9796	38154430	0	38154430	55.9796	0	55.9796	0	0.0000	0	0.0000	38154430
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
Note:																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding %	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)		
							Class Equity x	Class Others y									Total
1 Institutions																	
(a) Mutual Funds	1	3068099	0	0	3068099	4.5015	3068099	0	3068099	4.5015	0	4.5015	0	0.0000	NA	NA	3068099
DSP SMALL CAP FUND	1	3068099	0	0	3068099	4.5015	3068099	0	3068099	4.5015	0	4.5015	0	0.0000	0	0	3068099
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(c) Alternate Investment Funds	2	478606	0	0	478606	0.7022	478606	0	478606	0.7022	0	0.7022	0	0.0000	NA	NA	478606
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(e) Foreign Portfolio Investors	43	13219092	0	0	13219092	19.3949	13219092	0	13219092	19.3949	0	19.3949	0	0.0000	NA	NA	13219092
ALBULA INVESTMENT FUND LTD	1	6158135	0	0	6158135	9.0351	6158135	0	6158135	9.0351	0	9.0351	0	0.0000	0	0	6158135
ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	1	3250000	0	0	3250000	4.7684	3250000	0	3250000	4.7684	0	4.7684	0	0.0000	0	0	3250000
FIRST STATE INDIAN SUBCONTINENT FUND	1	998825	0	0	998825	1.4655	998825	0	998825	1.4655	0	1.4655	0	0.0000	0	0	998825
(f) Financial Institution/Banks	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(g) Insurance Companies	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
SUB TOTAL (B)(1)	46	16765797	0	0	16765797	24.5985	16765797	0	16765797	24.5985	0	24.5985	0	0.0000	NA	NA	16765797
2 Central / State government(s)																	
(a) Central Government/ State Government(s)/President of India	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
SUB TOTAL (B)(2)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
3 Non-institutions																	
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	25279	9049168	0	0	9049168	13.2768	9049168	0	9049168	13.2768	0	13.2768	0	0.0000	NA	NA	9049168
(a) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	6	1348573	0	0	1348573	1.9786	1348573	0	1348573	1.9786	0	1.9786	0	0.0000	NA	NA	1348573
(b) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(c) Employee Trusts	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(d) Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(e) Any Other(BODIES CORPORATE)	130	915772	0	0	915772	1.3436	915772	0	915772	1.3436	0	1.3436	0	0.0000	NA	NA	915772
(e) Any Other(CLEARING MEMBER)	50	190881	0	0	190881	0.2801	190881	0	190881	0.2801	0	0.2801	0	0.0000	NA	NA	190881
(e) Any Other(HINDU UNDIVIDED FAMILY)	442	765042	0	0	765042	1.1225	765042	0	765042	1.1225	0	1.1225	0	0.0000	NA	NA	765042
(e) Any Other(IEPF)	1	9871	0	0	9871	0.0145	9871	0	9871	0.0145	0	0.0145	0	0.0000	NA	NA	9871
(e) Any Other(NON RESIDENT INDIANS (NON REPAT))	127	225729	0	0	225729	0.3312	225729	0	225729	0.3312	0	0.3312	0	0.0000	NA	NA	225729
(e) Any Other(NON RESIDENT INDIANS (REPAT))	285	728832	0	0	728832	1.0693	728832	0	728832	1.0693	0	1.0693	0	0.0000	NA	NA	728832
(e) Any Other(UNCLAIMED SUSPENSE ACCOUNT)	1	3610	0	0	3610	0.0053	3610	0	3610	0.0053	0	0.0053	0	0.0000	NA	NA	3610
SUB TOTAL (B)(3)	26321	13237478	0	0	13237478	19.4218	13237478	0	13237478	19.4218	0	19.4218	0	0.0000	NA	NA	13237463
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	26367	30003275	0	0	30003275	44.0204	30003275	0	30003275	44.0204	0	44.0204	0	0.0000	0	NA	30003260
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 3610 Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Note: (1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																	

Table V - STATEMENT SHOWING DETAILS OF SIGNIFICANT BENEFICIAL OWNERS

Sr. No	Details of the significant beneficial owner (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect *: (III) Whether by virtue of:					Date of creation / acquisition of significant beneficial interest* (IV)
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Dhanesh Kumar Jain		INDIA	Dhanesh Kumar Jain		INDIA				YES	YES	30-10-1981
2	Deepak Jain		INDIA	Deepak Jain		INDIA	18.96	18.96	18.96	YES	YES	07-08-2013
3	Anmol Jain		INDIA	Anmol Jain		INDIA	18.95	18.95	18.95	YES	YES	03-08-2004
4	Dhanesh Kumar Jain		INDIA	Dhanesh Kumar Jain Family Trust		INDIA	0.30	0.30	0.30	YES	YES	06-04-2009
5	Dhanesh Kumar Jain		INDIA	D.K. Jain & Sons (HUF)		INDIA				YES	YES	09-08-2010
6	Dhanesh Kumar Jain		INDIA	Lumax Finance Private Limited		INDIA	17.77	17.77	17.77	YES	YES	22-09-2010
7	Deepak Jain		INDIA	Lumax Finance Private Limited		INDIA	17.77	17.77	17.77	YES	YES	22-09-2010
8	Anmol Jain		INDIA	Lumax Finance Private Limited		INDIA	17.77	17.77	17.77	YES	YES	22-09-2010