

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L31909DL1981PLC349793

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACD4090Q

(ii) (a) Name of the company

LUMAX AUTO TECHNOLOGIES

(b) Registered office address

2nd Floor, Harbans Bhawan-II, Commercial Complex,
Nangal Raya,
New Delhi
South West Delhi
Delhi
110046

(c) *e-mail ID of the company

shares@lumaxmail.com

(d) *Telephone number with STD code

01244760000

(e) Website

www.lumaxworld.in/lumaxa

(iii) Date of Incorporation

30/10/1981

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD
SAKINAKA

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1



S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

12

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	LUMAX INTEGRATED VENTURE 	U74899DL1991PTC044328	Subsidiary	100
2	Lumax Management Services I 	U74140DL2015PTC275088	Subsidiary	100

3	Lumax Mannoh Allied Technol +	U35912DL2013PLC255694	Subsidiary	55
4	Lumax Energy Solutions Privat +	U31401DL2003PTC122446	Subsidiary	100
5	Velomax Mobility Private Limit +	U74999DL2016PTC305208	Subsidiary	100
6	Lumax Cornaglia Auto Techno +	U31908DL2007PTC164757	Subsidiary	50
7	Lumax Mettalics Private Limite +	U35999DL2013PTC261221	Subsidiary	100
8	Sipal Engineering Private Limit +	U74900DL2016PTC290469	Associate	45
9	Lumax FAE Technologies Priva +	U35999DL2017PTC321495	Subsidiary	51
10	Lumax Ituran Telematics Privat +	U63030DL2017PTC322081	Associate	50
11	Lumax Jopp Allied Technologi +	U34300DL2019PTC351802	Subsidiary	50
12	Lumax Yokowo Technologies I +	U35990DL2020PTC362151	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	180,500,000	68,157,705	68,157,705	68,157,705
Total amount of equity shares (in Rupees)	361,000,000	136,315,410	136,315,410	136,315,410

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	180,500,000	68,157,705	68,157,705	68,157,705
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	361,000,000	136,315,410	136,315,410	136,315,410

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	15	68,157,690	68157705	136,315,410	136,315,410	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0

iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	15	68,157,690	68157705	136,315,410	136,315,410	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input style="width: 100%;" type="text"/>	
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>
Ledger Folio of Transferor		<input style="width: 100%;" type="text"/>	
Transferor's Name	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input style="width: 100%;" type="text"/>	
Transferee's Name	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>

Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

9,029,489,276

(ii) Net worth of the Company

4,881,120,006

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	26,043,110	38.21	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	12,111,320	17.77	0	
	Total	38,154,430	55.98	0	0

Total number of shareholders (promoters)

4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	10,491,151	15.39	0	
	(ii) Non-resident Indian (NRI)	920,801	1.35	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	401	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	3,068,099	4.5	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	967,535	1.42	0	
10.	Others AIF,FPI,Clearing Member	14,555,288	21.36	0	
	Total	30,003,275	44.02	0	0

Total number of shareholders (other than promoters)

17,925

**Total number of shareholders (Promoters+Public/
Other than promoters)**

17,929

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	4
Members (other than promoters)	14,012	17,925
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	2	1	18.95	18.96
B. Non-Promoter	0	7	0	6	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	6	0	5	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	8	2	7	18.95	18.96

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dhanesh Kumar Jain	00085848	Whole-time director	0	
Deepak Jain	00004972	Director	12,921,047	
Anmol Jain	00004993	Managing Director	12,918,113	
Sanjay Mehta	06434661	Director	1,525	
Arun Kumar Malhotra	00132951	Director	0	
Avinash Parkash Gandhi	00161107	Director	0	
Diviya Chanana	00737160	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Roop Salotra	06650145	Director	0	
Milap Jain	06738071	Director	0	
Vikas Marwah	AGCPM2012Q	CEO	0	
Ashish Dubey	ABSPD1238N	CFO	0	
Anil Tyagi	AESPT7096R	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Vikas Marwah	AGCPM2012Q	CEO	02/05/2020	Appointment
Kanchan Kumar Gandh	08165876	Director	01/02/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	28/08/2020	15,071	78	75.59
Postal Ballot	13/01/2021	15,267	283	40.27

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	02/05/2020	10	10	100
2	17/06/2020	10	10	100
3	13/08/2020	10	10	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	10/11/2020	10	10	100
5	12/02/2021	9	9	100

C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	17/06/2020	5	5	100
2	Audit Committee	13/08/2020	5	4	80
3	Audit Committee	10/11/2020	5	5	100
4	Audit Committee	12/02/2021	5	5	100
5	Audit Committee	25/02/2021	5	4	80
6	Nomination and Remuneration	02/05/2020	4	4	100
7	Nomination and Remuneration	17/06/2020	4	4	100
8	Nomination and Remuneration	10/11/2020	4	4	100
9	Nomination and Remuneration	12/02/2021	4	4	100
10	Stakeholder Relationship	17/06/2020	4	3	75

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Dhanesh Kum	5	5	100	5	4	80	
2	Deepak Jain	5	5	100	9	9	100	
3	Anmol Jain	5	5	100	5	4	80	
4	Sanjay Mehta	5	5	100	4	4	100	

5	Arun Kumar M	5	5	100	9	9	100	
6	Avinash Parka	5	5	100	5	4	80	
7	Diviya Chanar	5	5	100	0	0	0	
8	Roop Salotra	5	5	100	10	10	100	
9	Milap Jain	5	5	100	9	9	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	D.K. Jain	Whole-time Dire +	6,300,000	10,682,887	0	3,609,282	20,592,169
2	Anmol Jain	Managing Direct +	6,300,000	11,409,914	0	1,693,055	19,402,969
	Total		12,600,000	22,092,801	0	5,302,337	39,995,138

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Vikas Marwah	CEO	7,855,888	0	0	70,214	7,926,102
2	Ashish Dubey	CFO	5,197,045	0	0	0	5,197,045
3	Anil Tyagi	Company Secre +	1,644,998	0	0	42,600	1,687,598
	Total		14,697,931	0	0	112,814	14,810,745

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Deepak Jain	Non- Executive +	0	5,677,234	0	0	5,677,234
2	Sanjay Mehta	Non- Executive- +	0	0	0	0	0
3	Avinash Parkash G +	Non- Executive- +	0	0	0	220,000	220,000
4	Arun Kumar Malhot +	Non- Executive- +	0	0	0	300,000	300,000
5	Milap Jain	Non- Executive- +	0	0	0	280,000	280,000
6	Roop Salotra	Non- Executive- +	0	0	0	280,000	280,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
7	Diviya Chanana	Non- Executive- +	0	0	0	160,000	160,000
8	Kanchan Kumar Ga +	Non- Executive- +	0	0	0	120,000	120,000
	Total		0	5,677,234	0	1,360,000	7,037,234

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Maneesh Gupta

Whether associate or fellow

Associate Fellow

Certificate of practice number

2945

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

-
-
-
-

List of attachments

Details of Committee Meetings.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

LUMAX AUTO TECHNOLOGIES LIMITED

Details of Committee Meetings held during the Financial Year 2020-2021

Sl. No.	Type of Meeting	Date of Meeting	Total number of members as on date of meeting	Attendance	
				number of members attended	% of attendance
1	Audit Committee	17-06-2020	5	5	100
2	Audit Committee	13-08-2020	5	4	80
3	Audit Committee	10-11-2020	5	5	100
4	Audit Committee	12-02-2021	5	5	100
5	Audit Committee	25-02-2021	5	4	80
6	Nomination and Remuneration Committee	02-05-2020	4	4	100
7	Nomination and Remuneration Committee	17-06-2020	4	4	100
8	Nomination and Remuneration Committee	10-11-2020	4	4	100
9	Nomination and Remuneration Committee	12-02-2021	4	4	100
10	Share Transfer/Stakeholder Relationship Committee	17-06-2020	4	3	75
11	Share Transfer/Stakeholder Relationship Committee	13-08-2020	4	4	100
12	Share Transfer/Stakeholder Relationship Committee	10-11-2020	4	4	100
13	Share Transfer/Stakeholder Relationship Committee	12-02-2021	3	3	100
14	Corporate Social Responsibility Committee	17-06-2020	3	3	100