

**General information about company**

Scrip code	532796
NSE Symbol	LUMAXTECH
MSEI Symbol	NOTLISTED
ISIN	INE872H01027
Name of the company	LUMAX AUTO TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non- Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

<b>Table VI - Statement showing foreign ownership limits</b>		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	19.83
As on the end of previous 1st quarter	100	20.33
As on the end of previous 2nd quarter	100	20.3
As on the end of previous 3rd quarter	100	20.79
As on the end of previous 4th quarter	100	20.56

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	38154430			38154430	55.98	38154430		38154430	55.98
(B)	Public	26527	30003275			30003275	44.02	30003275		30003275	44.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	26531	68157705			68157705	100	68157705		68157705	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				55.98					38154430			
(B)	Public				44.02					30003260	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					68157690	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	26043110			26043110	38.21	26043110		26043110	38.21
(d)	Any Other (specify)	1	12111320			12111320	17.77	12111320		12111320	17.77
Sub-Total (A)(1)		4	38154430			38154430	55.98	38154430		38154430	55.98
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	38154430			38154430	55.98	38154430		38154430	55.98
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	1	3893047			3893047	5.71	3893047		3893047	5.71
(c)	Alternate Investment Funds	3	481506			481506	0.71	481506		481506	0.71
Sub-Total (B)(1)		4	4374553			4374553	6.42	4374553		4374553	6.42
(2)	Institutions (Foreign)										

(d)	Foreign Portfolio Investors Category I	52	12949398			12949398	19	12949398		12949398	19
(e)	Foreign Portfolio Investors Category II	2	16437			16437	0.02	16437		16437	0.02
Sub-Total (B)(2)		54	12965835			12965835	19.02	12965835		12965835	19.02
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	2	1530			1530	0	1530		1530	0
(c)	Key Managerial Personnel	3	1315			1315	0	1315		1315	0
(f)	Investor Education and Protection Fund (IEPF)	1	11846			11846	0.02	11846		11846	0.02
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	25275	7960909			7960909	11.68	7960909		7960909	11.68
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	9	2026344			2026344	2.97	2026344		2026344	2.97

(i)	Non Resident Indians (NRIs)	553	743726			743726	1.09	743726		743726	1.09
(l)	Bodies Corporate	139	1035419			1035419	1.52	1035419		1035419	1.52
(m)	Any Other (specify)	487	881798			881798	1.29	881798		881798	1.29
Sub-Total (B)(4)		26469	12662887			12662887	18.58	12662887		12662887	18.58
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		26527	30003275			30003275	44.02	30003275		30003275	44.02
C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
Total ( A+B+C2 )		26531	68157705			68157705	100	68157705		68157705	100
Total (A+B+C )		26531	68157705			68157705	100	68157705		68157705	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding ,as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)

				As a % of (A+B+C2)									
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)				38.21						26043110			
(d)				17.77						12111320			
Sub-Total (A)(1)				55.98						38154430			
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				55.98						38154430			
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(a)				5.71						3893047	0	0	0
(c)				0.71						481506	0	0	0
Sub-Total (B)(1)				6.42						4374553	0	0	0
(2)	Institutions (Foreign)												
(d)				19						12949398	0	0	0
(e)				0.02						16437	0	0	0
Sub-Total (B)(2)				19.02						12965835	0	0	0
(3)	Central Government / State Government(s)												
(4)	Non-institutions												
(b)				0						1530	0	0	0



(c)				0					1315	0	0	0
(f)				0.02					11846	0	0	0
(g)				11.68					7960894	0	0	0
(h)				2.97					2026344	0	0	0
(i)				1.09					743726	0	0	0
(l)				1.52					1035419	0	0	0
(m)				1.29					881798	0	0	0
Sub-Total (B)(4)				18.58					12662872	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				44.02					30003260	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )				100					68157690			
Total (A+B+C )				100					68157690			
Disclosure of notes on shareholding pattern									Textual Information(1)			

**Text Block**

Notes:

1. D.K. Jain & Sons (HUF), forming part of Promoter and Promoter Group Category, had been dissolved and the Shares been transferred to Mr. Anmol Jain and Mr. Deepak Jain, Promoters of the Company.

2. Statement showing Foreign Ownership Limits in Annexue B does include the shareholding of FPI and NRI (Repatriable basis) but does not include shareholding of NRI (Non-Repatriate basis).

Textual Information ()

Bifurcation of Final figures as specified in the Annexue B of this Shareholding pattern are as follows:

As on shareholding date: 19.02% (FPI Holding) + 0.81% (NRI holding on Repatriable basis).

As on the end of the previous 1st Quarter: 19.41% (FPI Holding) + 0.92% (NRI holding on Repatriable basis).

As on the end of the previous 2nd Quarter: 19.37% (FPI Holding) + 0.93% (NRI holding on Repatriable basis).

As on the end of the previous 3rd Quarter: 19.53% (FPI Holding) + 1.26% (NRI holding on Repatriable basis).

As on the end of the previous 4th Quarter: 19.34% (FPI Holding) + 1.22% (NRI holding on Repatriable basis).

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Dhanesh Kumar Jain Family Trust (Managing Trustee Dhanesh Kumar Jain)	Deepak Jain	Anmol Jain	Dhanesh Kumar Jain	Shivani Jain	D.K. Jain & Sons (HUF) (Karta Dhanesh Kumar Jain)
PAN (II)	AAAAD5552B	AAEPJ1525D	AAPPJ5958D	AAEPJ0852F	AFZPM9948K	AAAHD1442B
No. of fully paid up equity shares held (IV)	203950	12921047	12918113	0	0	0
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	203950	12921047	12918113	0	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.3	18.96	18.95	0	0	0
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	203950	12921047	12918113	0	0	0
Class eg:y						
Total	203950	12921047	12918113	0	0	0
Total as a % of Total Voting rights	0.3	18.96	18.95	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)						

No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.3	18.96	18.95	0	0	0
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	203950	12921047	12918113	0	0	0
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter Group	Promoter

### Individuals/Hindu undivided Family

Serial No.		
Name of the Shareholders (I)	Click here to go back	
PAN (II)	Total	
No. of fully paid up equity shares held (IV)	26043110	
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	26043110	
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	38.21	
Number of Voting Rights held in each class of securities (IX)		
Class eg:X	26043110	
Class eg:y		
Total	26043110	
Total as a % of Total Voting rights	38.21	
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	38.21	
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	26043110	
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

**Any Other (specify)**

Serial No.	1		
Category	Bodies Corporate	<a href="#">Click here to go back</a>	
Name of the Shareholders (I)	Lumax Finance Private Limited		
PAN (II)	AAACS9530J	Total	
No. of the Shareholders (I)	1	1	
No. of fully paid up equity shares held (IV)	12111320	12111320	
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	12111320	12111320	
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.77	17.77	
Number of Voting Rights held in each class of securities (IX)			

Class eg: X	12111320	12111320
Class eg:y		
Total	12111320	12111320
Total as a % of Total Voting rights	17.77	17.77
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	17.77	17.77
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	12111320	12111320
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

## Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	<a href="#">Click here to go back</a>
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	3893047	3893047
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3893047	3893047
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.71	5.71
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3893047	3893047
Class eg:y		
Total	3893047	3893047
Total as a % of Total Voting rights	5.71	5.71
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.71	5.71
Number of Locked in shares (XII)		
No. (a)		



As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3893047	3893047	
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	
Sub-category (ii)	0	0	
Sub-category (iii)	0	0	

### Foreign Portfolio Investors Category I

Serial No.	1	2	3		
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	FIRST STATE INDIAN SUBCONTINENT FUND	Click here to go back	
PAN (II)	AAHCA3597Q	AAFCA0513L	AAATF1850H	Total	
No. of fully paid up equity shares held (IV)	6158135	2811262	986691	9956088	
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	6158135	2811262	986691	9956088	

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.04	4.12	1.45	14.61	
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	6158135	2811262	986691	9956088	
Class eg:y					
Total	6158135	2811262	986691	9956088	
Total as a % of Total Voting rights	9.04	4.12	1.45	14.61	
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.04	4.12	1.45	14.61	
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	6158135	2811262	986691	9956088	
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					

Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Any Other (specify)				
Serial No.	1	2	3	
Category	Clearing Members	HUF	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	24	462	1	487
No. of fully paid up equity shares held (IV)	167295	712363	2140	881798
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	167295	712363	2140	881798
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.25	1.05	0	1.3
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	167295	712363	2140	881798
Class eg:y				

Total	167295	712363	2140	881798
Total as a % of Total Voting rights	0.25	1.05	0	1.3
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.25	1.05	0	1.3
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	167295	712363	2140	881798
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

### Significant Beneficial Owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Dhanesh Kumar Jain	AAEPJ0852F		India		Dhanesh Kumar Jain	AAEPJ0852F		India					Yes	Yes	30-10-1981
<b>Total:</b>											<b>0</b>	<b>0</b>	<b>0</b>			
2	Deepak Jain	AAEPJ1525D		India		Deepak Jain	AAEPJ1525D		India		18.96	18.96	18.96	Yes	Yes	07-08-2013
<b>Total:</b>											<b>18.96</b>	<b>18.96</b>	<b>18.96</b>			
3	Anmol Jain	AAPPJ5958D		India		Anmol Jain	AAPPJ5958D		India		18.95	18.95	18.95	Yes	Yes	03-08-2004

<b>Total:</b>											<b>18.95</b>	<b>18.95</b>	<b>18.95</b>			
4	Dhanesh Kumar Jain	AAEPJ0852F		India		Dhanesh Kumar Jain Family Trust	AAAAD5552B		India		0.3	0.3	0.3	Yes	Yes	06-04-2009
5	Dhanesh Kumar Jain	AAEPJ0852F		India		D.K. Jain & Sons (HUF)	AAAHD1442B		India					Yes	Yes	09-08-2010
6	Dhanesh Kumar Jain	AAEPJ0852F		India		Luma x Finance Private Limited	AAACS9530J		India		17.77	17.77	17.77	Yes	Yes	22-09-2010
<b>Total:</b>											<b>55.98</b>	<b>55.98</b>	<b>55.98</b>			
7	Deepak Jain	AAEPJ1525D		India		Luma x Finance Private Limited	AAACS9530J		India		17.77	17.77	17.77	Yes	Yes	22-09-2010

											<b>Total:</b>	<b>73.75</b>	<b>73.75</b>	<b>73.75</b>			
8	Anm ol Jain	AAPPJ59 58D		India		Luma x Finan ce Privat e Limit ed	AAACS95 30J		India		17.77	17.77	17.77	Yes	Yes	22-09-2010	
											<b>Total:</b>	<b>72.56</b>	<b>72.56</b>	<b>72.56</b>			