

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity:	LUMAX AUTO TECH LTD
2. Scrip Code/Name of Scrip/Class of Security	532796
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
a. If under 31(1)(b) then indicate the report for Quarter ending	30-12-2017
b. If under 31(1)(c) then indicate date of allotment/extinguishment	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class Equity x	Class Others y								
(A)	Promoter & Promoter Group	8	7584886	0	0	7584886	55.6422	7584886	0	7584886	55.6422	0	0.0000	0	0.0000	7584886	
(B)	Public	8320	6046655	0	0	6046655	44.3578	6046655	0	6046655	44.3578	0	0.0000	NA	NA	5972442	
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Total	8328	13631541	0	0	13631541		13631541	0	13631541	#####	0	0.0000			13557328	

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstating convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
1 Institutions																		
(a) Mutual Funds		1	476816	0	0	476816	3.4979	476816	0	476816	3.4979	0	3.4979	0	0.0000	NA	NA	476816
DSP BLACKROCK MICRO CAP FUND	AAAJD0430B	1	476816	0	0	476816	3.4979	476816	0	476816	3.4979	0	3.4979	0	0.0000	NA	NA	476816
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		2	72386	0	0	72386	0.5310	72386	0	72386	0.5310	0	0.5310	0	0.0000	NA	NA	72386
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investors		10	2265092	0	0	2265092	16.6166	2265092	0	2265092	16.6166	0	16.6166	0	0.0000	NA	NA	2265092
ALBULA INVESTMENT FUND LTD	AAHCA3597Q	1	1231627	0	0	1231627	9.0351	1231627	0	1231627	9.0351	0	9.0351	0	0.0000	NA	NA	1231627
ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	AAFCA0513L	1	650000	0	0	650000	4.7684	650000	0	650000	4.7684	0	4.7684	0	0.0000	NA	NA	650000
INDIA ACORN FUND LTD	AAECI5389R	1	201200	0	0	201200	1.4760	201200	0	201200	1.4760	0	1.4760	0	0.0000	NA	NA	201200
(f) Financial Institution/Banks		2	3221	0	0	3221	0.0236	3221	0	3221	0.0236	0	0.0236	0	0.0000	NA	NA	3221
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(1)		15	2817515	0	0	2817515	20.6691	2817515	0	2817515	20.6691	0	20.6691	0	0.0000	NA	NA	2817515
2 Central / State government(s)																		
(a) Central Government/ State Government(s)/President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3 Non-institutions																		
(a. 1) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		7772	2125373	0	0	2125373	15.5916	2125373	0	2125373	15.5916	0	15.5916	0	0.0000	NA	NA	2125370
(a. 2) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		9	314349	0	0	314349	2.3060	314349	0	314349	2.3060	0	2.3060	0	0.0000	NA	NA	314349

