

General information about company	
Scrip code	532796
NSE Symbol	LUMAXTECH
MSEI Symbol	NOTLISTED
ISIN	INE872H01027
Name of the company	LUMAX AUTO TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	18.72
As on the end of previous 1st quarter	100	18.98
As on the end of previous 2nd quarter	100	19.83
As on the end of previous 3rd quarter	100	20.33
As on the end of previous 4th quarter	100	20.3

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	38154430			38154430	55.98	38154430		38154430	55.98
(B)	Public	27136	30003275			30003275	44.02	30003275		30003275	44.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	27140	68157705			68157705	100	68157705		68157705	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				55.98					38154430			
(B)	Public				44.02					30003260	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					68157690	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	26043110			26043110	38.21	26043110		26043110	38.21
(d)	Any Other (specify)	1	12111320			12111320	17.77	12111320		12111320	17.77
Sub-Total (A)(1)		4	38154430			38154430	55.98	38154430		38154430	55.98
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	38154430			38154430	55.98	38154430		38154430	55.98
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	3	4200858			4200858	6.16	4200858		4200858	6.16
(c)	Alternate Investment Funds	4	403006			403006	0.59	403006		403006	0.59
Sub-Total (B)(1)		7	4603864			4603864	6.75	4603864		4603864	6.75
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	52	12248196			12248196	17.97	12248196		12248196	17.97
(e)	Foreign Portfolio Investors Category II	1	2142			2142	0	2142		2142	0
Sub-Total (B)(2)		53	12250338			12250338	17.97	12250338		12250338	17.97
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors)	2	1530			1530	0	1530		1530	0

	and nominee directors)										
(c)	Key Managerial Personnel	3	1315			1315	0	1315		1315	0
(f)	Investor Education and Protection Fund (IEPF)	1	13836			13836	0.02	13836		13836	0.02
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	25897	8265222			8265222	12.13	8265222		8265222	12.13
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	10	2293690			2293690	3.37	2293690		2293690	3.37
(i)	Non Resident Indians (NRIs)	507	327424			327424	0.48	327424		327424	0.48
(j)	Foreign Nationals	1	371050			371050	0.54	371050		371050	0.54
(l)	Bodies Corporate	154	813991			813991	1.19	813991		813991	1.19
(m)	Any Other (specify)	501	1061015			1061015	1.56	1061015		1061015	1.56
	Sub-Total (B)(4)	27076	13149073			13149073	19.29	13149073		13149073	19.29
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	27136	30003275			30003275	44.02	30003275		30003275	44.02
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		27140	68157705			68157705	100	68157705		68157705	100
Total (A+B+C)		27140	68157705			68157705	100	68157705		68157705	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)				38.21					26043110				
(d)				17.77					12111320				
Sub-Total (A) (1)				55.98					38154430				
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				55.98					38154430				
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(a)				6.16					4200858	0	0	0	
(c)				0.59					403006	0	0	0	
Sub-Total (B) (1)				6.75					4603864	0	0	0	
(2)	Institutions (Foreign)												
(d)				17.97					12248196	0	0	0	
(e)				0					2142	0	0	0	
Sub-Total (B) (2)				17.97					12250338	0	0	0	
(3)	Central Government / State Government(s)												

(4)	Non-institutions											
(b)				0					1530	0	0	0
(c)				0					1315	0	0	0
(f)				0.02					13836	0	0	0
(g)				12.13					8265207	0	0	0
(h)				3.37					2293690	0	0	0
(i)				0.48					327424	0	0	0
(j)				0.54					371050	0	0	0
(l)				1.19					813991	0	0	0
(m)				1.56					1061015	0	0	0
Sub-Total (B) (4)				19.29					13149058	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				44.02					30003260	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					68157690			
Total (A+B+C)				100					68157690			
Disclosure of notes on shareholding pattern								Textual Information(1)				

Text Block

Textual Information()

D.K. Jain & Sons (HUF), forming part of Promoter and Promoter Group Category, had been dissolved and the Shares been transferred to Mr. Anmol Jain and Mr. Deepak Jain, Promoters of the Company.

Statement showing Foreign Ownership Limits in Annexure B does include the shareholding of FPI and NRI (Repatriable basis) but does not include shareholding of NRI (Non-Repatriate basis).

Bifurcation of Final figures as specified in the Annexure B of this Shareholding pattern are as follows:

As on shareholding date: 17.97% (FPI Holding) + 0.75% (NRI holding on Repatriable basis).

As on the end of the previous 1st Quarter: 18.23% (FPI Holding) + 0.75% (NRI holding on Repatriable basis).

As on the end of the previous 2nd Quarter: 19.02% (FPI Holding) + 0.81% (NRI holding on Repatriable basis).

As on the end of the previous 3rd Quarter: 19.41% (FPI Holding) + 0.92% (NRI holding on Repatriable basis).

As on the end of the previous 4th Quarter: 19.37% (FPI Holding) + 0.93% (NRI holding on Repatriable basis).

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Dhanesh Kumar Jain Family Trust (Managing Trustee Dhanesh Kumar Jain)	Deepak Jain	Anmol Jain	Dhanesh Kumar Jain	Shivani Jain	D.K. Jain & Sons (HUF) (Karta Dhanesh Kumar Jain)
PAN (II)	AAAAD5552B	AAEPJ1525D	AAPPJ5958D	AAEPJ0852F	AFZPM9948K	AAAHD1442B
No. of fully paid up equity shares held (IV)	203950	12921047	12918113	0	0	0
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	203950	12921047	12918113	0	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.3	18.96	18.95	0	0	0
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	203950	12921047	12918113	0	0	0
Class eg:y						
Total	203950	12921047	12918113	0	0	0
Total as a % of Total Voting rights	0.3	18.96	18.95	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying						

Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.3	18.96	18.95	0	0	0
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	203950	12921047	12918113	0	0	0
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter Group	Promoter

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	26043110
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26043110
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	38.21
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	26043110
Class eg:y	
Total	26043110
Total as a % of Total Voting rights	38.21
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding	

convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	38.21
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	26043110
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Lumax Finance Private Limited	
PAN (II)	AAACS9530J	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	12111320	12111320
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12111320	12111320
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.77	17.77
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12111320	12111320
Class eg:y		
Total	12111320	12111320
Total as a % of Total Voting rights	17.77	17.77
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying		

Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	17.77	17.77
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	12111320	12111320
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	Click here to go back
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	4045625	4045625
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4045625	4045625
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.94	5.94
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4045625	4045625
Class eg:y		
Total	4045625	4045625
Total as a % of Total Voting rights	5.94	5.94
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding		

convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.94	5.94
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4045625	4045625
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Foreign Portfolio Investors Category I

Serial No.	1	2	
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	Click here to go back
PAN (II)	AAHCA3597Q	AAFCA0513L	Total
No. of fully paid up equity shares held (IV)	6061493	2811262	8872755
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6061493	2811262	8872755
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.89	4.12	13.02
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	6061493	2811262	8872755
Class eg:y			
Total	6061493	2811262	8872755
Total as a % of Total Voting rights	8.89	4.12	13.02
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding			

convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.89	4.12	13.02
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	6061493	2811262	8872755
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Any Other (specify)			
Serial No.	1	2	
Category	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	16	485	501
No. of fully paid up equity shares held (IV)	176846	884169	1061015
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	176846	884169	1061015
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.26	1.3	1.56
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	176846	884169	1061015
Class eg:y			
Total	176846	884169	1061015
Total as a % of Total Voting rights	0.26	1.3	1.56
No. Of Shares Underlying Outstanding convertible securities (X)			

No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.26	1.3	1.56
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	176846	884169	1061015
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
1	Dhanesh Kumar Jain	AAEPJ0852F		India		Dhanesh Kumar Jain	AAEPJ0852F		India					Yes	Yes
Total:											0	0	0		
2	Deepak Jain	AAEPJ1525D		India		Deepak Jain	AAEPJ1525D		India		18.96	18.96	18.96	Yes	Yes
Total:											18.96	18.96	18.96		
3	Anmol Jain	AAPPJ5958D		India		Anmol Jain	AAPPJ5958D		India		18.95	18.95	18.95	Yes	Yes
Total:											18.95	18.95	18.95		
4	Dhanesh Kumar Jain	AAEPJ0852F		India		Dhanesh Kumar Jain Family Trust	AAAAD5552B		India		0.3	0.3	0.3	Yes	Yes
5	Dhanesh Kumar Jain	AAEPJ0852F		India		Lumax Finance Private Limited	AAACS9530J		India		17.77	17.77	17.77	Yes	Yes
Total:											55.98	55.98	55.98		
6	Deepak Jain	AAEPJ1525D		India		Lumax Finance Private Limited	AAACS9530J		India		17.77	17.77	17.77	Yes	Yes
Total:											73.75	73.75	73.75		
7	Anmol Jain	AAPPJ5958D		India		Lumax Finance Private Limited	AAACS9530J		India		17.77	17.77	17.77	Yes	Yes
Total:											72.56	72.56	72.56		

8	Dhanesh Kumar Jain	AAEPJ0852F		India		D.K.Jain & Sons (HUF)	AAAHD1442B		India					Yes	Yes
Total:											91.52	91.52	91.52		

