

**General information about company**

Scrip code	532796
NSE Symbol	LUMAXTECH
MSEI Symbol	NOTLISTED
ISIN	INE872H01027
Name of the company	LUMAX AUTO TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	100	18.98
As on the end of previous 1st quarter	100	19.83
As on the end of previous 2nd quarter	100	20.33
As on the end of previous 3rd quarter	100	20.3
As on the end of previous 4th quarter	100	20.79

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								Class eg: X	Class eg: y	Total	Total as a % of (A+B+C)
(A)	Promoter & Promoter Group	4	38154430			38154430	55.98	38154430		38154430	55.98
(B)	Public	25948	30003275			30003275	44.02	30003275		30003275	44.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	25952	68157705			68157705	100	68157705		68157705	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)			Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)			As a % of total Shares held (b)	Sub-category (i)	Sub-category (ii)
(A)	Promoter & Promoter Group				55.98					38154430			
(B)	Public				44.02					30003260	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					68157690	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1) Indian											
(a)	Individuals/Hindu undivided Family	3	26043110			26043110	38.21	26043110		26043110	38.21
(d)	Any Other (specify)	1	12111320			12111320	17.77	12111320		12111320	17.77
Sub-Total (A)(1)		4	38154430			38154430	55.98	38154430		38154430	55.98
(2) Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	38154430			38154430	55.98	38154430		38154430	55.98
B Table III - Statement showing shareholding pattern of the Public shareholder											
(1) Institutions (Domestic)											
(a)	Mutual Funds	1	4045625			4045625	5.94	4045625		4045625	5.94
(c)	Alternate Investment Funds	4	617861			617861	0.91	617861		617861	0.91
Sub-Total (B)(1)		5	4663486			4663486	6.84	4663486		4663486	6.84
(2) Institutions (Foreign)											
(d)	Foreign Portfolio Investors Category I	54	12415963			12415963	18.22	12415963		12415963	18.22
(e)	Foreign Portfolio Investors Category II	1	10966			10966	0.02	10966		10966	0.02
Sub-Total (B)(2)		55	12426929			12426929	18.23	12426929		12426929	18.23
(3) Central Government / State Government(s)											
(4) Non-institutions											
(b)	Directors and their relatives (excluding independent directors and nominee directors)	2	1530			1530	0	1530		1530	0
(c)	Key Managerial Personnel	3	1315			1315	0	1315		1315	0
(f)	Investor Education and Protection Fund (IEPF)	1	13836			13836	0.02	13836		13836	0.02
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	24738	7875086			7875086	11.55	7875086		7875086	11.55
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	10	2233323			2233323	3.28	2233323		2233323	3.28
(i)	Non Resident Indians (NRIs)	516	355179			355179	0.52	355179		355179	0.52
(j)	Foreign Nationals	1	371050			371050	0.54	371050		371050	0.54
(l)	Bodies Corporate	143	912101			912101	1.34	912101		912101	1.34
(m)	Any Other (specify)	474	1149440			1149440	1.69	1149440		1149440	1.69
Sub-Total (B)(4)		25888	12912860			12912860	18.95	12912860		12912860	18.95
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		25948	30003275			30003275	44.02	30003275		30003275	44.02
C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )		25952	68157705			68157705	100	68157705		68157705	100
Total (A+B+C)		25952	68157705			68157705	100	68157705		68157705	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)				38.21					26043110				
(d)				17.77					12111320				
Sub-Total (A) (1)				55.98					38154430				
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				55.98					38154430				
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(a)				5.94					4045625	0	0	0	
(c)				0.91					617861	0	0	0	
Sub-Total (B) (1)				6.84					4663486	0	0	0	
(2)	Institutions (Foreign)												
(d)				18.22					12415963	0	0	0	
(e)				0.02					10966	0	0	0	
Sub-Total (B) (2)				18.23					12426929	0	0	0	
(3)	Central Government / State Government(s)												
(4)	Non-institutions												
(b)				0					1530	0	0	0	
(c)				0					1315	0	0	0	
(f)				0.02					13836	0	0	0	
(g)				11.55					7875071	0	0	0	
(h)				3.28					2233323	0	0	0	
(i)				0.52					355179	0	0	0	
(j)				0.54					371050	0	0	0	
(l)				1.34					912101	0	0	0	
(m)				1.69					1149440	0	0	0	
Sub-Total (B) (4)				18.95					12912845	0	0	0	
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)+(B)(4)				44.02					30003260	0	0	0	
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
Total ( A+B+C2 )				100					68157690				
Total (A+B+C )				100					68157690				
Disclosure of notes on shareholding pattern								Textual Information(1)					

**Text Block**

Textual Information()	<p>Notes:</p> <p>D.K. Jain &amp; Sons (HUF), forming part of Promoter and Promoter Group Category, had been dissolved and the Shares been transferred to Mr. Anmol Jain and Mr. Deepak Jain, Promoters of the Company.</p> <p>Statement showing Foreign Ownership Limits in Annexue B does include the shareholding of FPI and NRI (Repatriable basis) but does not include shareholding of NRI (Non-Repatriate basis).</p> <p>Bifurcation of Final figures as specified in the Annexue B of this Shareholding pattern are as follows:</p> <p>As on shareholding date: 18.23% (FPI Holding) + 0.75% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 1st Quarter: 19.02% (FPI Holding) + 0.81% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 2nd Quarter: 19.41% (FPI Holding) + 0.92% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 3rd Quarter: 19.37% (FPI Holding) + 0.93% (NRI holding on Repatriable basis).</p> <p>As on the end of the previous 4th Quarter: 19.53% (FPI Holding) + 1.26% (NRI holding on Repatriable basis).</p>
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Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	Dhanesh Kumar Jain	Deepak Jain	Anmol Jain	<a href="#">Click here to go back</a>
PAN (II)	AAAAD552B	AAEPJ1525D	AAPPJ5958D	Total
No. of fully paid up equity shares held (IV)	203950	12921047	12918113	26043110
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	203950	12921047	12918113	26043110
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.3	18.96	18.95	38.21
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	203950	12921047	12918113	26043110
Class eg: y				
Total	203950	12921047	12918113	26043110
Total as a % of Total Voting rights	0.3	18.96	18.95	38.21
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.3	18.96	18.95	38.21
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	203950	12921047	12918113	26043110
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Lumax Finance Private Limited	
PAN (II)	AAACS9530J	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	12111320	12111320
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12111320	12111320
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.77	17.77
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12111320	12111320
Class eg:y		
Total	12111320	12111320
Total as a % of Total Voting rights	17.77	17.77
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	17.77	17.77
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	12111320	12111320
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	<a href="#">Click here to go back</a>
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	4045625	4045625
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4045625	4045625
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.94	5.94
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4045625	4045625
Class eg:y		
Total	4045625	4045625
Total as a % of Total Voting rights	5.94	5.94
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.94	5.94
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4045625	4045625
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Foreign Portfolio Investors Category I**

Serial No.	1	2	
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	<a href="#">Click here to go back</a>
PAN (II)	AAHCA3597Q	AAFCA0513L	Total
No. of fully paid up equity shares held (IV)	6061493	2811262	8872755
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6061493	2811262	8872755
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.89	4.12	13.02
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	6061493	2811262	8872755
Class eg:y			
Total	6061493	2811262	8872755
Total as a % of Total Voting rights	8.89	4.12	13.02
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.89	4.12	13.02
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	6061493	2811262	8872755
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			
<b>Sub-categorization of shares</b>			
<b>Shareholding (No. of shares) under</b>			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Clearing Members	HUF	Firm	Trusts	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	18	454	1	1	474
No. of fully paid up equity shares held (IV)	150878	992888	674	5000	1149440
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	150878	992888	674	5000	1149440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.22	1.46	0	0.01	1.69
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	150878	992888	674	5000	1149440
Class eg:y					
Total	150878	992888	674	5000	1149440
Total as a % of Total Voting rights	0.22	1.46	0	0.01	1.69
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.22	1.46	0	0.01	1.69
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	150878	992888	674	5000	1149440
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

significant beneficial owners																	
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest	
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:						
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence		
1	Dhanesh Kumar Jain	AAEPJ0852F		India		Dhanesh Kumar Jain	AAEPJ0852F		India					Yes	Yes	30-10-1981	
<b>Total:</b>											<b>0</b>	<b>0</b>	<b>0</b>				
2	Deepak Jain	AAEPJ1525D		India		Deepak Jain	AAEPJ1525D		India			18.96	18.96	18.96	Yes	Yes	07-08-2013
<b>Total:</b>											<b>18.96</b>	<b>18.96</b>	<b>18.96</b>				
3	Anmol Jain	AAAPPJ5958D		India		Anmol Jain	AAAPPJ5958D		India			18.95	18.95	18.95	Yes	Yes	03-08-2004
<b>Total:</b>											<b>18.95</b>	<b>18.95</b>	<b>18.95</b>				
4	Dhanesh Kumar Jain	AAEPJ0852F		India		Dhanesh Kumar Jain Family Trust	AAAAD5552B		India			0.3	0.3	0.3	Yes	Yes	06-04-2009
5	Dhanesh Kumar Jain	AAEPJ0852F		India		Lumax Finance Private Limited	AAACS9530J		India			17.77	17.77	17.77	Yes	Yes	22-09-2010
<b>Total:</b>											<b>55.98</b>	<b>55.98</b>	<b>55.98</b>				
6	Deepak Jain	AAEPJ1525D		India		Lumax Finance Private Limited	AAACS9530J		India			17.77	17.77	17.77	Yes	Yes	22-09-2010
<b>Total:</b>											<b>73.75</b>	<b>73.75</b>	<b>73.75</b>				
7	Anmol Jain	AAAPPJ5958D		India		Lumax Finance Private Limited	AAACS9530J		India			17.77	17.77	17.77	Yes	Yes	22-09-2010
<b>Total:</b>											<b>72.56</b>	<b>72.56</b>	<b>72.56</b>				
8	Dhanesh Kumar Jain	AAEPJ0852F		India		D.K.Jain & Sons (HUF)	AAAHD1442B		India						Yes	Yes	09-08-2010
<b>Total:</b>											<b>91.52</b>	<b>91.52</b>	<b>91.52</b>				