

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity:	LUMAX AUTO TECH LTD
2. Scrip Code/Name of Scrip/Class of Security	532796
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
a. If under 31(1)(b) then indicate the report for Quarter ending	30-09-2017
b. If under 31(1)(c) then indicate date of allotment/extinguishment	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity x	Class Others y	Total								
(A)	Promoter & Promoter Group	8	7584886	0	0	7584886	55.6422	7584886	0	7584886	55.6422	0	0.0000	0	0.0000	7584886		
(B)	Public	8146	6046655	0	0	6046655	44.3578	6046655	0	6046655	44.3578	0	0.0000	NA	NA	5972442		
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total	8154	13631541	0	0	13631541		13631541	0	13631541	100.0000	0	0.0000			13557328		

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)	
								Class Equity x	Class Others y								
1 Institutions																	
(a) Mutual Funds			2	684259	0	0	684259	5.0197	684259	0	684259	5.0197	0	0.0000	NA	NA	684259
DSP BLACKROCK MICRO CAP FUND	AAAJD0430B		1	257975	0	0	257975	1.8925	257975	0	257975	1.8925	0	0.0000	NA	NA	257975
SBI MAGNUM MULTIPLIER FUND	AABTS6407Q		1	426284	0	0	426284	3.1272	426284	0	426284	3.1272	0	0.0000	NA	NA	426284
(b) Venture Capital Funds			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds			1	18186	0	0	18186	0.1334	18186	0	18186	0.1334	0	0.0000	NA	NA	18186
(d) Foreign Venture Capital Investors			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investors			3	2103591	0	0	2103591	15.4318	2103591	0	2103591	15.4318	0	0.0000	NA	NA	2103591
ALBULA INVESTMENT FUND LTD	AAHCA3597Q		1	1231627	0	0	1231627	9.0351	1231627	0	1231627	9.0351	0	0.0000	NA	NA	1231627
ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	AAFA0513L		1	650000	0	0	650000	4.7684	650000	0	650000	4.7684	0	0.0000	NA	NA	650000
FIDELITY FUNDS - ASIAN SMALLER COMPANIES POOL	AACCF3258J		1	221964	0	0	221964	1.6283	221964	0	221964	1.6283	0	0.0000	NA	NA	221964
(f) Financial Institution/Banks			3	5044	0	0	5044	0.0370	5044	0	5044	0.0370	0	0.0000	NA	NA	5044
(g) Insurance Companies			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(i) Any Other(FII's)			1	112793	0	0	112793	0.8274	112793	0	112793	0.8274	0	0.0000	NA	NA	112793
SUB TOTAL (B)(1)			10	2923873	0	0	2923873	21.4493	2923873	0	2923873	21.4493	0	0.0000	NA	NA	2923873
2 Central / State government(s)																	
(a) Central Government/ State Government(s)/President of India			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
3 Non-institutions																	
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.			7606	2075207	0	0	2075207	15.2236	2075207	0	2075207	15.2236	0	0.0000	NA	NA	2075204
(a) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			11	439638	0	0	439638	3.2252	439638	0	439638	3.2252	0	0.0000	NA	NA	439638
(b) NBFCs registered with RBI			2	3150	0	0	3150	0.0231	3150	0	3150	0.0231	0	0.0000	NA	NA	3150
(c) Employee Trusts			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(e) Any Other(BODIES CORPORATE)			244	396219	0	0	396219	2.9066	396219	0	396219	2.9066	0	0.0000	NA	NA	396219
(e) Any Other(CLEARING MEMBER)			62	12150	0	0	12150	0.0891	12150	0	12150	0.0891	0	0.0000	NA	NA	12150
(e) Any Other(NON RESIDENT INDIANS (NON REPAT))			66	75583	0	0	75583	0.5545	75583	0	75583	0.5545	0	0.0000	NA	NA	75583
(e) Any Other(NON RESIDENT INDIANS (NRI))			129	113340	0	0	113340	0.8315	113340	0	113340	0.8315	0	0.0000	NA	NA	39130
(e) Any Other(NON RESIDENT INDIANS (REPAT))			16	7495	0	0	7495	0.0550	7495	0	7495	0.0550	0	0.0000	NA	NA	7495
SUB TOTAL (B)(3)			8136	3122782	0	0	3122782	22.9085	3122782	0	3122782	22.9085	0	0.0000	NA	NA	3048569
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)			8146	6046655	0	0	6046655	44.3578	6046655	0	6046655	44.3578	0	0.0000	NA	NA	5972442

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

